#### The Dining Philosophers with Pthreads

Dr. Douglas Niehaus Michael Jantz Dr. Prasad Kulkarni

#### Introduction

The Dining Philosophers canonical problem illustrates a number of interesting points about concurrency control that recur in various situations

.Multiple threads using multiple resources

Different sets of resources used by different threads

•Threads spend different amounts of time using resources and between intervals of resource use

Deadlock can occur because of a set of interactions among different threads and resources

•First proposed by Djikstra (1965) as a problem of coordinating access by five computers to five tape drives

.Retold in its more amusing current form by Hoare

•Few real-world problems map directly onto its structure

But many share characteristics: multiple threads, multiple resources, varied patterns of resource use

# **Dining Philosophers**

A set of philosophers spend their lives alternating between thinking and eating

- .Philosophers sit around a table with a shared bowl of food
- .To eat, philosophers must hold two chopsticks
- •Chopsticks are placed on the table between philosophers
- .Each philosopher this has a right and left chopstick
- •Each philosopher uses a different set of resources
- .Chopsticks can only be acquired one at a time
- When a philosopher becomes hungry, she tries to pick up the left chopstick and then the right
- If a chopstick is missing, the philosopher waits for it to appear

•A hungry philosopher holding two chopsticks eats until no longer hungry, puts down her chopsticks and thinks

# **Dining Philosphers**

N philosophers, N forksFood has unrestricted concurrent access

•Forks are exclusive use resources

•Each fork plays a different role for its philosophers (L/R)

Each fork used by a different set of philosophers

Deadlock appears quite unlikely to happen

Happens "quickly" in practice



Starter code implements the "classic" dining philosophers problem with its vulnerability to deadlock

Assumes familiarity with Pthreads concepts in previous labs

- Concurrent execution of Pthreads
- .Mutex used for mutual exclusion
- .Condition variable use for signal-wait interaction

Starter code also contains some components labeled ASYMMETRIC and WAITER which are associated with two different approaches to a solution you will work on.

.Go ahead and unpack the starter code and run the current implementation

bash> tar zxvf eecs678-pthreads\_dp-lab.tar.gz

•Code is a fairly straightforward implementation decomposed into a number of components

- .dining\_philosophers.c
- •Code begins with includes and defined constants
- .Constants are used to control many aspects of behavior
- Next, a definition of the *philosopher* structure
- Note the *prog* and *prog\_total* fields which track the number of times a philosopher has gone through the think-eat cycle during an accounting period and during program execution, respectively
- .Next, we have some global variables:
- *Diners*: array of philosopher structures
- .Stop: global stop flag

•chopstick: array of mutexes representing the chopsticks Dining Philosophers

•Global continued

*waiter*: mutex used to represent the waiter the waiter-based solution

*.available\_chopsticks*: array of integers used to represent chopstick availability in the waiter solution

Next is a set of utility routines used in various solutions

Return pointers to philosopher to left and right of argument, chopstick to left and right, and pointer to available flag of left and right chopstick of a given philosopher

.think\_one\_thought( ) and eat\_one\_mouthful() routines

.Used in *dp\_thread*() routine to represent activity

*.dp\_thread( )* routine is code executed by each philosopher thread which implements the think-eat cycle until told to stop, and does accounting on how many cycles completed

*.set\_table( )* routine initializes data structures representing chopsticks, initializes the philosopher structures and creates the philosopher threads

*.print\_progress( )* prints progress statistics for each philosopher, and zeroes the prog field so progress during each accounting period is counted as well as the total

•Five philosophers per line and a blank line between statistics for each accounting period

*.main( )* calls *set\_table( )*, prints out a header, and falls into the accounting and deadlock detection loop

•Root thread zeroes philosopher period progress, then sleeps for ACCOUNTING\_PERIOD seconds

- .Checks to see if any progress made while it slept
- .Infers deadlock if not, and sets Stop
- .Prints statistics in any case

.Run the existing code

bash> cd pthreads\_dp; make dp\_test

.Your output should be similar, but remember thread behavior and deadlock are affected by many random factors

•Context switches, other load on system, interrupts, etc

plato:starter\_code\$ make dp\_test gcc -g dining\_philosophers.c -lpthread -lm -o dp ./dp

Dining Philosophers Update every 5 seconds

p0= 1012/1056 p1= 1/1 p2= 492/492 p3= 913/913 p4= 0/0 p0= 0/1056 p1= 0/1 p2= 0/492 p3= 0/913 p4= 0/0

Deadlock Detected EECS 678

**Dining Philosophers** 

# **Asymmetric Solution**

•Example output shows that deadlock occurred during the first accounting period, after threads had performed a variable number of think-eat cycles

 $\cdot$  "P1 = 123/456" entry indicates that P1 executed 123 thinkeat cycles in the current accounting period and has 456 total

Numbers may not be completely consistent as there is no concurrency control between main and philosopher threads

.Try running the test several times and see that behavior varies

Deadlock occurs because each philosopher has picked up the left fork before any have pick up the right

•Happens much more quickly than most people would expect

Asymmetric solution is to have the even numbered philosophers pick up in left-right order, while odd-numbered pick up in right-left order

# **Asymmetric Solution**

•Make a copy of dining\_philosophers.c into dp\_asymmetric.c and update the Makefile appropriately

•Make the necessary change to dp\_thread where the string ASYMMETRIC appears in the comment: test *me->id* for even or odd and alter mutex lock order accordingly

bash> make dp\_asymmetric\_test

If your implementation is correct, then the program should run for 10 5second cycles and complete without deadlock. It should print the first digit of your KUID if an even philosopher is eating.

Note how many think-eat cycles each philosopher makes in each accounting cycle and total

•This will vary with the platform (cycle4, 1005D-\*, etc)

Was several hundred thousand on development machine

Note that progress by each philosopher is roughly equal

•Try running it a few more times and see how much behavior varies due to random chance and system context

.All philosophers still randomly compete for their left and right chopsticks, holding their first and waiting for the second

As long as thinking and eating periods vary randomly and other factors make when a philosopher tries to pick up their chopsticks vary randomly, then progress should be roughly equal and no philosopher should starve

However, if a set of philosophers ever began to share the same "rhythm" then one philosopher might be at a disadvantage

Now consider a slightly more complex solution using a Pthread condition variable approach

•Mutex *waiter* represents a waiter in the cafe that will "give" the chopsticks to a philosopher as a *pair* 

Note that this will constrain concurrency more than the asymmetric solution as this creates a region where only one philosopher at a time can obtain its chopsticks

- •Copy dining\_philosophers.c into dp\_waiter.c
- Look for "WAITER SOLUTION" in the code

.Relevant changes are in dp\_thread() code where philosophers obtain and give back their chopsticks

•This solution does not need the *chopstick* array of mutexes

•Use the array of integers *available\_chopsticks* instead, whose integrity will be protected by the *waiter* mutex, and condition variable programming pattern

.Get-chopsticks section ensures that testing my\_chopsticks\_free and mark\_my\_chopsticks\_free set of operations are ATOMIC using *waiter* 

.Free-chopsticks section uses waiter to ensures the mark\_my\_chopsticks\_free and Signal sets of operations are done ATOMICALLY

Consider types and pointers carefully as the helper routines return pointers to available flags and philosophers EECS 678 Dining pthread\_mutex\_lock(&waiter);

```
while (!( my_chopsticks_free )) {
    <sup>1</sup>pthread_cond_wait(&(me->can_eat), &waiter);
}
```

mark\_my\_chopsticks\_taken;
pthread\_mutex\_unlock(&waiter);

Eat;

pthread\_mutex\_lock(&waiter);

mark\_my\_chopstick\_free;

//Signal those who might care they became free
2pthread\_cond\_signal( ... )

```
pthread_mutex_unlock(&waiter);
Dining Philosophers
```

14

When your solution is complete and correct, your solution should produce output similar to the asymmetric solution

Runs through 10 cycles and completes without deadlock and prints the first letter of your KUID if a philosopher's id is even.

Note, however, that the number of think-eat cycles is significantly lower

.Why?

•Another point of interest is the while loop testing the condition and calling pthread\_cond\_wait()

Why does this need to be a loop

.Hint: Consider possible events between when the decision to send the signal is made and when the signal is received

.Does this solution prevent starvation?

.Hint: NO !!!

•Try to extend your solution to count the number of times a philosopher is awakened and both chopsticks are *not free*, so it must wait again

•Experiment with tests in the chopstick freeing area that send a signal to a philosopher only when both its chopsticks are free

•You should find that a small but significant percentage of the time a chopstick is taken between when the signal is sent and when the receiving philosopher tries to get its chopsticks •Consider what would happen in these retry cases if the *while* loop was an *if-then* instead

# Conclusions

The dining philosophers is a simple problem with a surprising number of subtle aspects

Deadlock seems extremely unlikely, yet happens quite quickly

Solutions are not all that difficult, but have different implications

.Plausible but incorrect solutions also easy to construct

.Shows that knowing if a solution is correct is also *hard* 

Neither of these solutions to preventing deadlock prevent starvation

•Consider how to implement the Waiter solution with a Monitor representing the waiter

Waiter can maintain a queue of requests, ensuring all philosophers eventually eat